

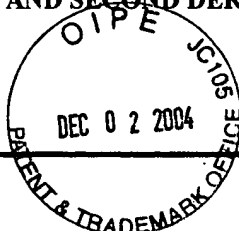
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
FIS920010129US1(14566)

In Re Application Of: **Lawrence Jacobowitz, et al.**

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|--------------------|-----------------|--------------|----------------|------------------|
| 09/963,258 | September 26, 2001 | Dalzid E. Singh | 23389 | 2633 | 8418 |

Title: **FIRST AND SECOND DERIVATIVE PROCESSING OF WAVELENGTH MULTIPLEXED OPTICAL SIGNALS**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

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FIS920010129US1(145)

In Re Application: Lawrence Jacobowitz, et al.

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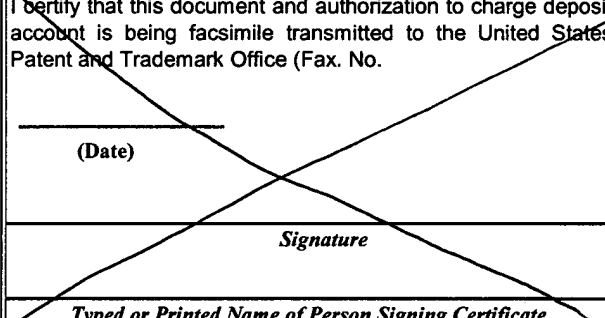
Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

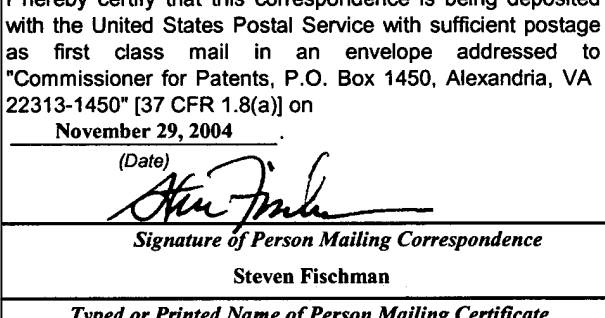
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 09-0458/IBM as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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Dated: November 29, 2004

Steven Fischman

Registration No. 34,594

Correspondence Address

Customer No. 23389

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lawrence Jacobowitz, et al.

Examiner: Dalzid E. Singh

Serial No: 09/963,258

Art Unit: 2633

Filed: September 26, 2001

Docket: FIS920010129US1(14566)

For: FIRST AND SECOND DERIVATIVE
PROCESSING OF WAVELENGTH
MULTIPLEXED OPTICAL SIGNALS

Confirmation No: 8418

Dated: November 29, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 3,777,154, dated December 4, 1973 issued to Lindsey;
2. U.S. Patent No. 3,943,021, dated March 9, 1976 issued to Lindsey;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 29, 2004.

Dated: November 29, 2004


Steven Fischman

3. U.S. Patent No. 4,588,296, dated May 13, 1986 issued to Cahill, et al.;
4. U.S. Patent No. 4,725,120, dated February 16, 1988 issued Parzygnat;
5. U.S. Patent No. 4,744,617, dated May 17, 1988 issued to Hvezda;
6. U.S. Patent No. 4,863,332, dated September 5, 1989 issued to Wiholm, et al.;
7. U.S. Patent No. 4,870,637, dated September 26, 1989 issued to Follett, et al.;
8. U.S. Patent No. 4,872,739, dated October 10, 1989 issued to Kahn, et al.;
9. U.S. Patent No. 4,890,894, dated January 2, 1990 issued to Kwa;
10. U.S. Patent No. 4,892,376, dated January 9, 1990 issued to Whitehouse;
- 11 U.S. Patent No. 4,895,424, dated January 23, 1990 issued to Hughes;
12. U.S. Patent No. 4,943,136, dated July 24, 1990 issued to Popoff;
13. U.S. Patent No. 4,944,568, dated July 31, 1990 issued to Danlach, et al.;
14. U.S. Patent No. 5,009,477, dated April 23, 1991 issued to Alferness, et al.;
15. U.S. Patent No. 5,071,216, dated December 10, 1991 issued to Sullivan;
16. U.S. Patent No. 5,101,460, dated March 31, 1992 issued to Richard;
17. U.S. Patent No. 5,134,508, dated July 28, 1992 issued to Corda;
18. U.S. Patent No. 5,144,691, dated September 1, 1992 issued August, et al.;
19. U.S. Patent No. 5,155,784, dated October 13, 1992 issued to Knott;
20. U.S. Patent No. 5,155,785, dated October 13, 1992 issued to Holland, et al.;
21. U.S. Patent No. 5,182,780, dated January 26, 1993 issued to Robertson;
22. U.S. Patent No. 5,204,925, dated April 20, 1993 issued to Bonanni, et al.;
23. U.S. Patent No. 5,218,654, dated June 8, 1993 issued to Sauter;
24. U.S. Patent No. 5,228,105, dated July 13, 1993 issued to Glista;

25. U.S. Patent No. 5,240,617, dated August 31, 1993 issued to Hopf;
26. U.S. Patent No. 5,245,680, dated September 14, 1993 issued to Sauter;
27. U.S. Patent No. 5,247,593, dated September 21, 1993 issued to Lin, et al.;
28. U.S. Patent No. 5,259,051, dated November 2, 1993 issued to Burack, et al.;
29. U.S. Patent No. 5,268,981, dated December 7, 1993 issued to Shahid;
30. U.S. Patent No. 5,280,551, dated January 18, 1994 issued to Bowen;
31. U.S. Patent No. 5,283,851, dated February 1, 1994 issued to Vergnolle;
32. U.S. Patent No. 5,340,980, dated August 23, 1994, issued to Bianchini, et al.;
33. U.S. Patent No. 5,367,598, dated November 22, 1994 issued to Devenish, III, et al.;
34. U.S. Patent No. 5,371,820, dated December 6, 1994 issued to Welbourn, et al.;
35. U.S. Patent No. 5,388,174, dated February 7, 1995 issued to Roll, et al.;
36. U.S. Patent No. 5,402,515, dated March 28, 1995 issued to Vidacovich, et al.;
37. U.S. Patent No. 6,317,247, dated November 13, 2001, issued to Yang, et al.;
38. U.S. Patent No. 6,222,861, dated April 24, 2001, issued to Kuo, et al.;
39. U.S. Patent No. 6,333,941, dated December 25, 2001, issued to Hung;
40. European Patent Application No. 0 486 208 A2, dated May 20, 1992;
41. European Patent Application No. 0 571 037 A1, dated November 24, 1993;
42. European Patent Application No. 0 911 658 A1, dated April 28, 1999;
43. International Patent Application WO 94/18587, dated August 18, 1994;
44. International Patent Application WO 94/18589, dated August 18, 1994;
45. International Patent Application WO 96/10201, dated April 4, 1996; and

46. Japanese Patent Application No. 6-19838, dated January 28, 1994.
47. "Micromachining system accommodates large wafers", by Robert Bann, et al., Laser Focus World -The 2001 Annual Survey of the Laser Marketplace, January 2001, pp. 189-192;
48. Chapter 9, "Other Optical Components", pp. 131-137; and
49. "AN INTRODUCTION TO POUND-DREVER-HALL LASER FREQUENCY STABILIZATION", Eric D. Black, LIGO Project, California Institute of Technology, LIG)-P990042-00-D, pp. 1-36.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG

55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Steven Fischman
Registration No. 34,594

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza, Suite 300
Garden City, New York 11530
(516) 742-4343

SF:gc

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | Docket Number (Optional) FIS920010129US1(14566) | Application Number 09/963,258 |
| | Applicant(s) Lawrence Jacobowitz, et al. | |
| | Filing Date September 26, 2001 | Group Art Unit 2633 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | | 3,777,154 | 12/04/73 | Lindsey | | | |
| | | 3,943,021 | 03/09/76 | Lindsey | | | |
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| | | | | | | YES | NO |
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| | 0 571 037 A1 | 11/24/93 | Europe | | | | |
| | 0 911 658 A1 | 04/28/99 | Europe | | | | |
| | WO 94/18587 | 08/18/94 | PCT | | | | |
| | WO 94/18589 | 08/18/94 | PCT | | | | |

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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| | | "Micromachining system accommodates large wafers", by Robert Bann, et al., Laser Focus World -The 2001 Annual Survey of the Laser Marketplace, January 2001, pp. 189-192 |
| | | Chapter 9, "Other Optical Components", pp. 131-137 |

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| | | 4,890,894 | 01/02/90 | Kwa | | | |
| | | 4,892,376 | 01/09/90 | Whitehouse | | | |

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| | | WO 96/10201 | 04/04/96 | PCT | | | | |
| | | 6-19838 | 01/28/94 | Japan | | | | |
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| | | "AN INTRODUCTION TO POUND-DREVER-HALL LASER FREQUENCY STABILIZATION", Eric D. Black, LIGO Project, California Institute of Technology, LIG-P990042-00-D, pp. 1-36 |
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| | APPLICANT(S) Lawrence Jacobowitz, et al. | |
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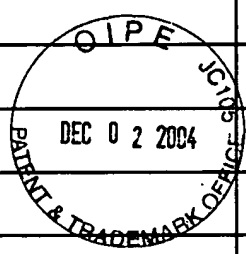
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| | | 5,182,780 | 01/26/93 | Robertson | | | |
| | | 5,204,925 | 04/20/93 | Bonanni, et al | | | |
| | | 5,218,654 | 06/08/93 | Sauter | | | |
| | | 5,228,105 | 07/13/93 | Glista | | | |
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| | 5,259,051 | 1/02/93 | Burack, et al | | | |
| | 5,268,981 | 12/07/93 | Shahid | | | |
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| | 5,283,851 | 02/01/94 | Vergnolle | | | |
| | 5,340,980 | 08/23/94 | Bianchini, et al | | | |
| | 5,367,598 | 11/22/94 | Devenish, III, et al | | | |
| | 5,371,820 | 12/06/94 | Welbourn, et al | | | |
| | 5,388,174 | 02/07/95 | Roll, et al | | | |

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | Docket Number (Optional) FIS920010129US1(14566) | Application Number 09/963,258 |
| | Applicant(s) Lawrence Jacobowitz, et al. | |
| | Filing Date September 26, 2001 | Group Art Unit 2633 |

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FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.